# TRANSMITTAL SHEET FOR NOTICE OF INTENDED ACTION

Control 410 Department or Agency State Health Planning and Deve	elopment Agency (Statewide
Health Coordinating Council)	
Rule No. 410-2-411	
Rule Title: Substance Abuse	
NewX AmendRepeal	Adopt by Reference
Would the absence of the proposed rule significantly harm or endanger the public health, welfare, or safety?	e NO
Is there a reasonable relationship between the state's police power and the protection of the public health, safety, or welfare?	YES
Is there another, less restrictive method of regulation available that could adequately protect the public?	NO
Does the proposed rule have the effect of directly or indirectly increasing the costs of any goods or services involved and, if so, to what degree?	NO
Is the increase in cost, if any, more harmful to the public than the harm that might result from the absence of the proposed rule?	NO
Are all facets of the rulemaking process designed solely for the purpose of and so they have, as their primary effect, the protection of the public?	f, YES
* * * * * * * * * * * * * * * * * * *	NO
If the proposed rule has an economic impact, the proposed rule is required note prepared in accordance with subsection (f) of Section 41-22-23, <u>Code</u>	
* * * * * * * * * * * * * * * * * * * *	***********
Certification of Authorized Official	
I certify that the attached proposed rule has been proposed in full complia Chapter 22, Title 41, Code of Alabama 1975, and that it conforms to all apthe Administrative Procedure Division of the Legislative Reference Service	oplicable filing requirements of
Signature of certifying officer <u>Alva m. Kamherf</u>	
Date $5-3-/2$	

DATE FILEC'D & FILED (STAMP)

MAY 0 4 2012



## STATE HEALTH PLANNING AND DEVELOPMENT AGENCY

# 100 NORTH UNION STREET, SUITE 870 MONTGOMERY, ALABAMA 36104

#### **NOTICE OF INTENDED ACTION**

AGENCY NAME:

STATE HEALTH PLANNING AND DEVELOPMENT AGENCY

(Statewide Health Coordinating Council)

**RULE NO. & TITLE:** 

410-2-4-.11

Substance Abuse

#### **INTENDED ACTION:**

The State Health Planning and Development Agency (Statewide Health Coordinating Council) proposes to amend the above-styled section of the *Alabama State Health Plan*.

#### SUBSTANCE OF PROPOSED ACTION:

To consider revisions to the State Health Plan to provide additional guidance for new methadone clinics and to impose a moratorium on new Certificate of Need applications therefor pending the Statewide Health Coordinating Council's (SHCC) adoption of a new need methodology.

#### TIME, PLACE, MANNER OF PRESENTING VIEWS:

In response to this Proposed Rule, all interested persons are invited to submit data, views, comments and/or arguments, orally or in writing. Any and all such data, comments, arguments and/or requests to orally address SHCC shall be made in writing on or before July 9, 2012, and shall be made to:

Nicole Horn, Executive Secretary State Health Planning and Development Agency P. O. Box 303025 Montgomery, Alabama 36130-3025

On August 3, 2012, at 10:00 a.m., the SHCC shall conduct a public hearing in the Capitol Auditorium, Alabama State Capitol, Montgomery, Alabama, at which time it shall consider the Proposed Rule, along with all written and oral submissions with respect to the Proposed Rule. Only those interested persons who have made timely written requests will be afforded the opportunity to speak.

Copies of the proposed changes are available for review at 100 North Union Street, RSA Union Building, Suite 870, Montgomery, Alabama. Phone (334) 242-4103 or visit the office Monday through Friday from 8:00 a.m. to 5:00 p.m., excluding State holidays.

# FINAL DATE FOR COMMENT AND COMPLETION OF NOTICE: July 9, 2012

### **CONTACT PERSON AT AGENCY:**

Nicole Horn 100 North Union Street RSA Union, STE 870 Montgomery, AL 36104 (334) 242-4103

Alva M. Lambert, Executive Director

MAILING ADDRESS: P.O. BOX 303025, MONTGOMERY, ALABAMA 36130-3025 PHONE: (334) 242-4103 FAX: (334) 242-4113

#### 410-2-4-.11 Substance Abuse

### (1) Discussion

- (a) The National Household Survey on Drug Abuse (NHSDA) estimated 16.6 million Americans age 12 or older in 2001 were classified with dependence on or abuse of either alcohol or illicit drugs, a figure significantly higher than in 2000 about 14.5 million. Most of these persons (11.0 million) were dependent on or abused alcohol only. Another 2.4 million were dependent on or abused both alcohol and illicit drugs, while 3.2 million were dependent on or abused illicit drugs but not alcohol. Persons age 18 to 25 had the highest rates of alcohol dependence or abuse (14.8 percent). (Source: www.samhsa.gov)
- (b) There are more deaths and disabilities each year in the United States from Substance Abuse than from any other cause. One-quarter of all emergency room visits, one-third of all suicides, and more than one half of all homicides and incidents of domestic violence are alcohol-related. (Source: www.ncadd.org)
- (c) Alcohol and drug abuse costs the American economy an estimated \$276 billion per year in lost productivity, health care expenditures, crime, motor vehicle crashes and other conditions. (Source: www.ncadd.org)

#### (2) Background

- (a) Substance abuse services for persons with both dependence and abuse problems is provided through an array of private and public providers throughout the state. The array of services ranges from inpatient medical detoxification services to residential treatment services to a variety of outpatient types of services including various affiliated support groups.
- (b) In the past few years the technology for treating individuals with dependence and abuse problems has changed rather dramatically from a traditional inpatient/residential mode to outpatient treatment. This has occurred for a variety of reasons including financial considerations. These phenomena can be verified through analysis of current utilization of both inpatient and residential services.

### (3) Methodology

- (a) The Alabama Department of Mental Health/Mental Retardation (DMH/MR) has developed a substance abuse bed need methodology, which is based upon a formula utilized in other states, commonly referred to as the "Mardin Formula". This prevalence base formula was selected in lieu of utilization-based formulas due to the lack of comprehensive statistical information on the current utilization of residential treatment centers. Calculation of needed beds is performed as follows:
- (b) Step 1: Multiply the population ages 10-17 by 19%, which is the proportion, assumed to have problems with chemical dependency;

- (c) Step 2: Multiply the population ages 18 and over by 7%, which is the proportion assumed to have problems with chemical dependency;
- (d) Step 3: Multiply the sum of steps 1 and 2 by 12%, which is the proportion who will seek treatment annually;
- (e) Step 4: Multiply the product in step 3 by 60% which is the proportion of those seeking treatment who will require detoxification services for 3 days. Calculate total number of patient days;
- (f) Step 5: Multiply those receiving detoxification services by 50%, which is the proportion who will need residential treatment for 10 days. Calculate total number of patient days;
  - (g) Step 6: Add the patient days in steps 4 and 5 to arrive at total patient days;
  - (h) Step 7: Divide by 365 to determine average daily census (ADC);
  - (i) Step 8: Divide by 80% occupancy to arrive at total needed beds;
  - (j) Step 9: Subtract existing public beds to arrive at total private bed need;
  - (k) Step 10: Subtract existing private beds to determine need or excess.

# BED NEED CALCULATIONS 2005

Population		Persons with Persons	Detoxification	Residential	Total	
		SA Problems	Seeking Help	Days	Days	Days
531,145	3,507,562	346,447	41,574	74,832	124,720	199,552

Average	80%	Public	Private	Beds
Dailv Census	Occupancy	Beds	Beds	Needed
547	684	616	432	(364)

# SUBSTANCE ABUSE BEDS AUTHORIZED

COUNTY	FACILITY	BEDS
	Hospitals	
Colbert	Helen Keller Memorial Hospital	13
Crenshaw	Crenshaw Baptist Hosptial	5
Jefferson	Carraway Methodist Medical Center Brookwood Medical Center University of Alabama Hospital Subtotal:	18 14 12 62
	Residential	
Jefferson	Bradford Parkside Lodge at Warrior Salvation Army Adult Rehabilitation Center	100 84
Madison	Bradford at Huntsville	84
Shelby	Bradford Adolescent	102
	Subtotal:	370
	State Total:	432

Updated September 2003 Alabama 2002 Hospital H-5 Report

(	(4)	Methadone Treatment
heroin a	and oth	Definition. Methadone is an opioid agonist medication used to treat ner-opiate addiction. Methadone reduces the craving for heroin and other opiates ceptor sites that are affected by heroin and other opiates.
	<del>(b)</del> —	Background
in Mobi	ile, bot B Men served	Prior to June 1991 Alabama operated two methadone clinics in Birmingham and hof which were operated through a DMH/MR contract. These clinics are part of tal Health Center and the Mobile Mental Health Center. The average number of in any given month never exceeded 380 of which fewer than 5% were clients from
		As of January 2004, Alabama has nineteen certified methadone treatment several others under development.
•	<del>(c)</del>	Recommendations
counsel	ing, vo I in the	A methadone treatment program should provide adequate medical, cational, educational, mental health assessment, and social services to patients opioid treatment program with the goal of the individual becoming free of opioid
submitt	2. ed with	The Methadone Advisory Committee suggests the following information be a Certificate of Need applications:
		The number of arrests for the previous year regarding the sale and possession of unty for the area to be served.
		Data from the Medical Examiner regarding all deaths related to overdose from inty for the area to be served during the previous year.
		Data from all hospital emergency rooms regarding the number of persons treated for an overdose of opioids by county for the area to be served.
must tra	<del>(iv)</del> ivel in	The number of clients within specific geographic areas who, out of necessity, excess of 50 miles round-trip for narcotic treatment services.

The name and number of existing narcotic treatment programs within 50 miles of

(vi) Number of persons to be served by the proposed program and the daily dosing fee.

the proposed sight.

### (d) Need

1. The need for methadone treatment programs should be based on information provided by the applicant for certificate of need which acknowledges the importance of considering the demand for services along with need and addressing and analyzing service problems as well.

As of April 20, 2012, there are twenty certified methadone treatment programs in Alabama, with two additional programs under development. On April 18, 2012, the Certificate of Need Review Board passed a resolution requesting that the SHCC consider revisions to the State Health Plan to provide additional guidance for the consideration of applications for new methadone clinics and to impose a moratorium on new applications pending the outcome of such review. Given the increase in the number of methadone treatment facilities over the last few years, no further certificate of need applications for new methadone treatment facilities shall be considered pending the SHCC's review of this issue and the adoption of a new need methodology.

Author: Statewide Health Coordinating Council (SHCC). Statutory Authority: § 22-21-260(4), Code of Alabama, 1975.

History: Effective November 22, 2004.